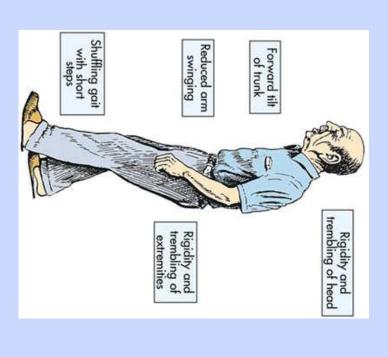
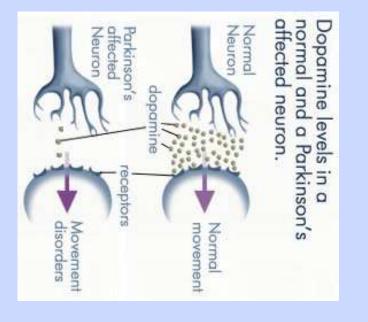
Parkinson's disease and dopamine (DA) control of movement





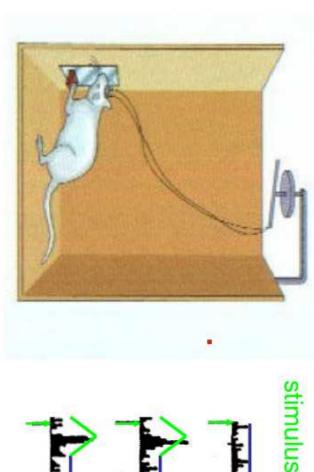
- Standard account is that DA directly boosts movement performance
- Models suggest that DA modulates motivational incentive and learning, too





What is Dopamine Doing?

Dopamine carries the brain's revard signal reward prediction error



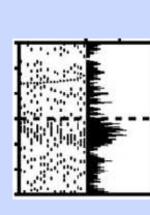
Wise & Romper, 89

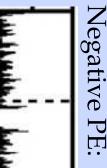
Schultz et. al, 98

Reinforcement learning and dopamine: prediction errors

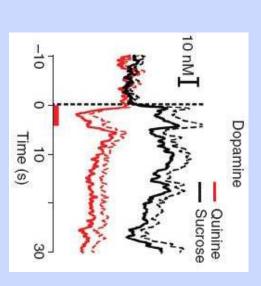
Positive PE:



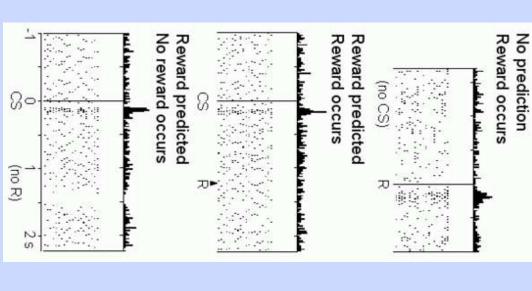








Basic Data: VTA dopamine firing in Conditioning



Schultz, Montague & Dayan, 2007

Value function, sum of discounted future rewards:

$$V(t) = \langle \gamma^0 r(t) + \gamma^1 r(t+1) + \gamma^2 r(t+2) \dots \rangle$$
 (1)

Value function, sum of discounted future rewards:

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 (1)

Recursive definition:

$$V(t) = \langle r(t) + \gamma V(t+1) \rangle \tag{2}$$

Value function, sum of discounted future rewards:

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 (1)

Recursive definition:

$$V(t) = \langle r(t) + \gamma V(t+1) \rangle \tag{2}$$

Error in predicted reward (from previous to next time-step):

$$\delta(t) = \left(r(t) + \gamma \hat{V}(t+1)\right) - \hat{V}(t) \tag{3}$$

Value function, sum of discounted future rewards:

$$V(t) = \langle \gamma^0 r(t) + \gamma^1 r(t+1) + \gamma^2 r(t+2) ... \rangle$$
 (1)

Recursive definition:

$$V(t) = \langle r(t) + \gamma V(t+1) \rangle \tag{2}$$

Error in predicted reward (from previous to next time-step):

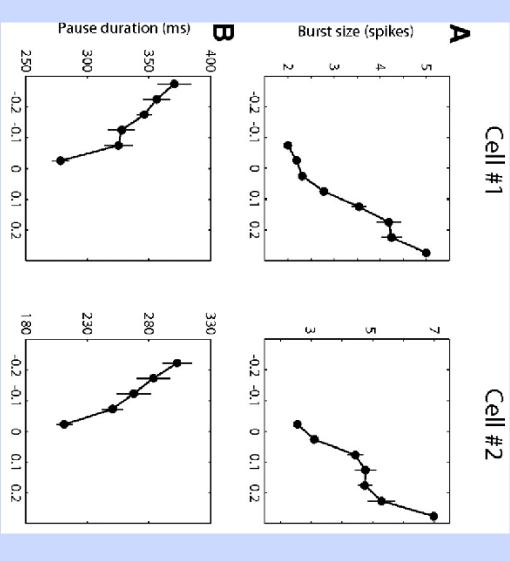
$$\delta(t) = \left(r(t) + \gamma \hat{V}(t+1)\right) - \hat{V}(t)$$

Update value estimate:

$$\widehat{V}(t) \leftarrow \widehat{V}(t) + \alpha \delta(t) \tag{4}$$

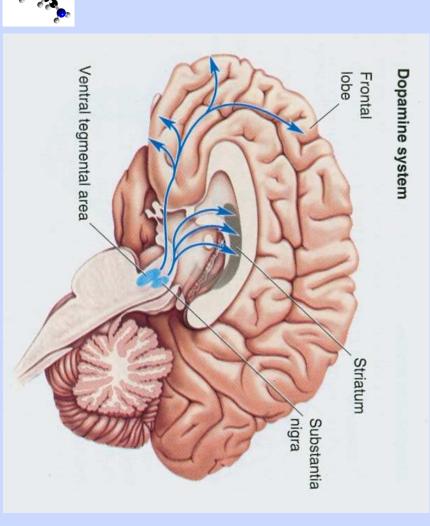
 α = learning rate

Burst/Pause correlations with Rew Prediction Errors



Bayer et al, 2007 JNeurophys

How are dopamine-based RPE signals used to select actions?





Hardly Anything:

BG do not directly implement any cognitive (or motor) process.

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Almost Everything:

processes. BG modulate activity in multiple cortical areas: affects motor, implicit learning, motivation, decision making and executive function

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Parkinson's disease (PD), ADHD: DA depletion in BG, resulting deficits in all above domains.

Hardly Anything:

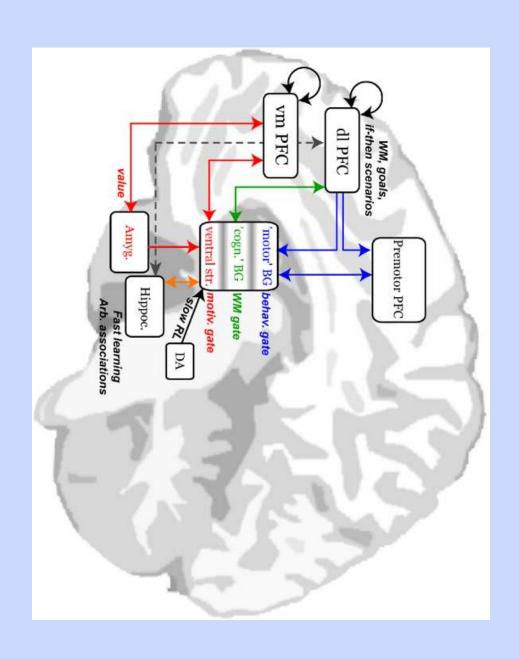
BG do not directly implement any cognitive (or motor) process

Almost Everything:

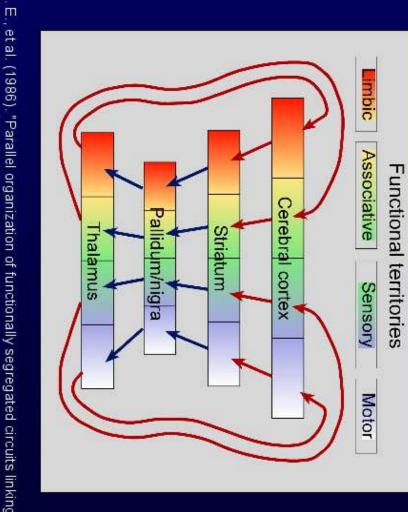
processes learning, motivation, decision making and executive function BG modulate activity in multiple cortical areas: affects motor, implicit

- Parkinson's disease (PD), ADHD: DA depletion in BG, resulting deficits in all above domains
- Also: excess BG DA can induce impulsivity, e.g. pathological gambling, compulsive shopping (for review Dagher & Robbins, 2009)

Fronto-basal ganglia circuits in motivation, action, cognition



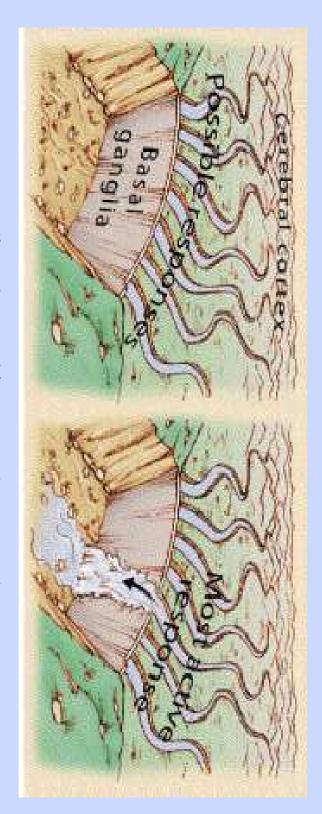
Basal Ganglia Architecture: Cortically based loops



Alexander, G. E., et al. (1986). "Parallel organization of functionally segregated circuits linking basal ganglia and cortex." <u>Ann. Rev. Neurosci. **9**:</u> 357-381.

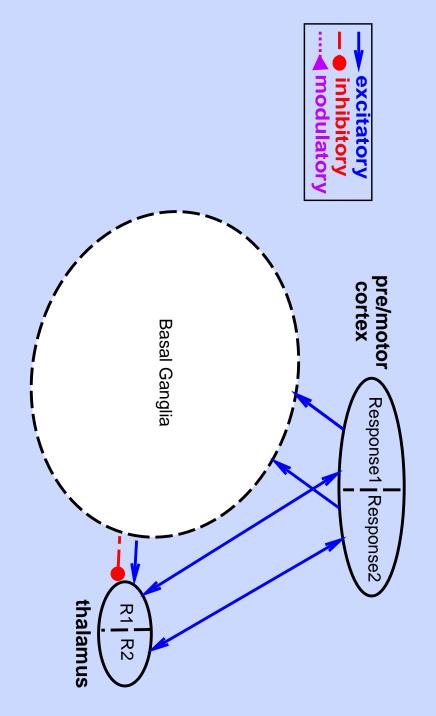
 $BG\ damage \Rightarrow deficits\ in\ motor,\ learning,\ motivation,\ working\ memory,\ cognitive\ control$

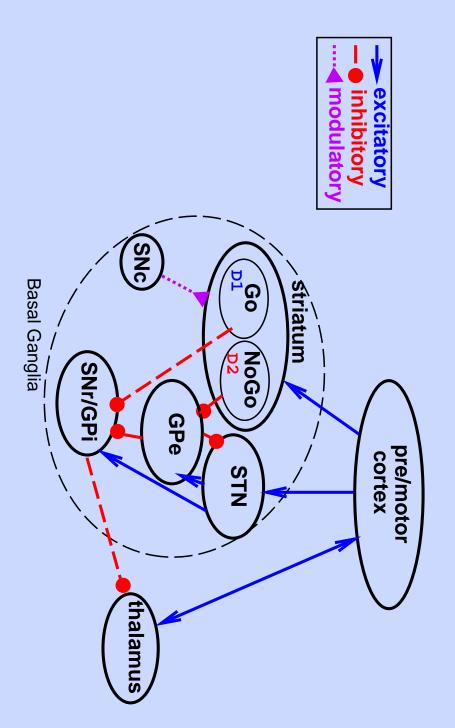
The Basal Ganglia as a Gate: Action Selection



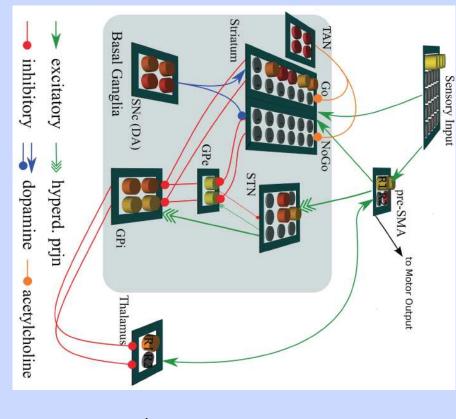
(figure borrowed from Ivry & Spencer, 2004)

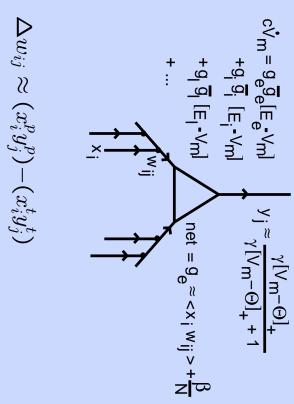
- BG selectively facilitates (gates) one action while suppressing others (Mink, 1996; Frank et al, 2001; Gurney et al, 2001; Brown et al, 2004...)
- each action, learned via dopamine... Gating occurs in proportion to relative probability of positive-negative outcomes for



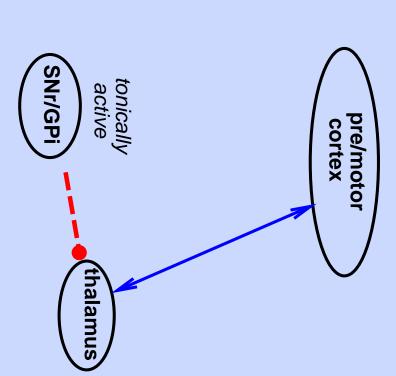


Neural circuit model of BG in learning / decision making Integrates a wide range of physiological data into a single coherent framework

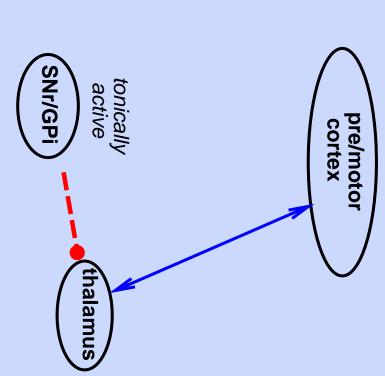




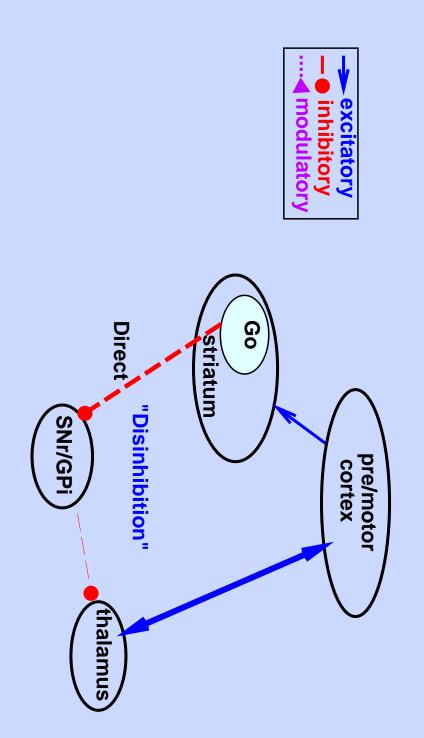


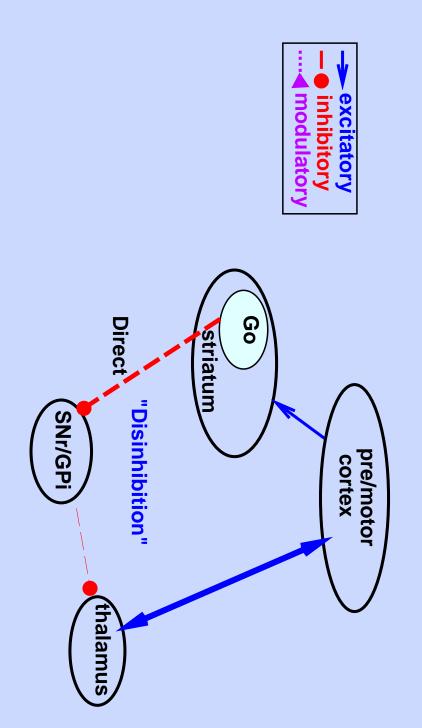






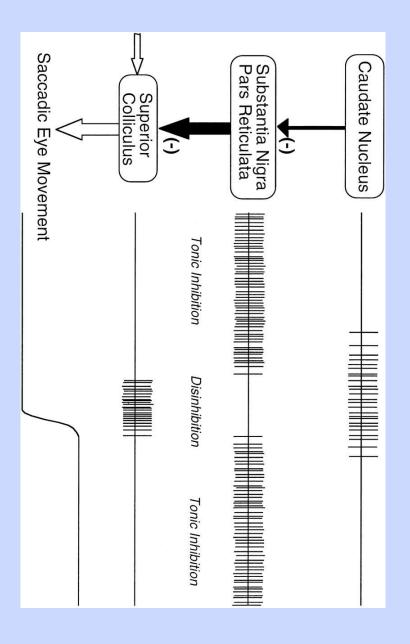
Simulation

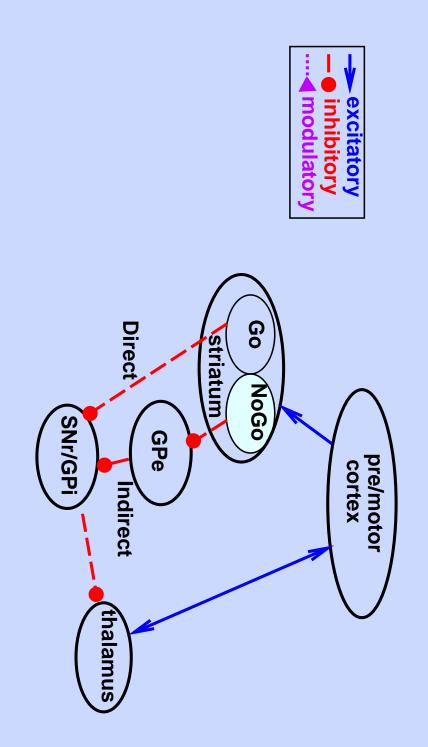


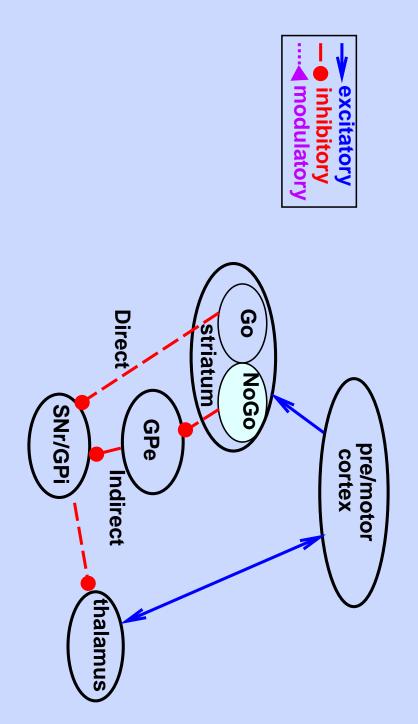


Simulation

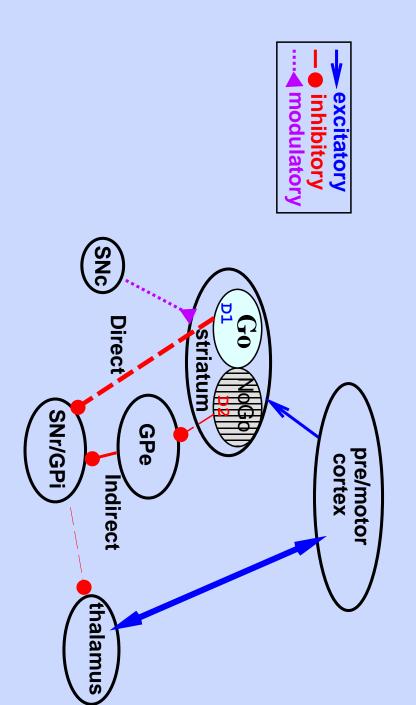
Disinhibition as a gating mechanism

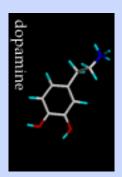




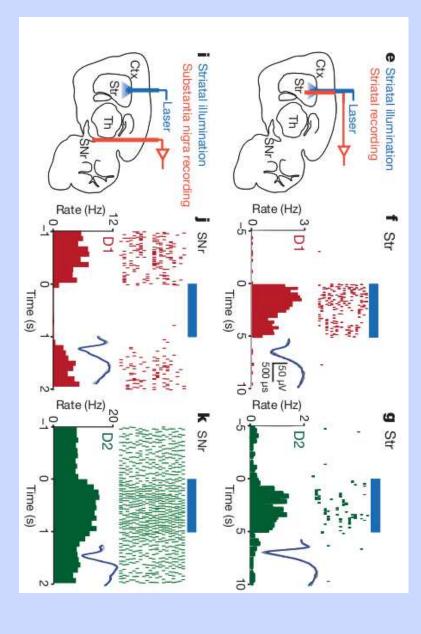


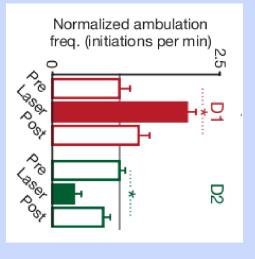
Simulation





Optogenetic stimulation of direct and indirect pathways Evidence for go/nogo mechanism:

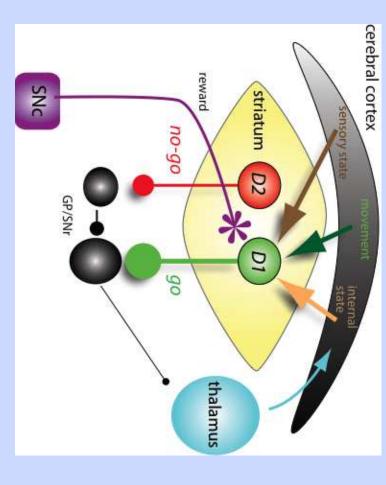




 $Go \rightarrow inhibits SNr; NoGo \rightarrow excites SNr$...and induces/inhibits movement

Kravitz et al, 2010, Nature

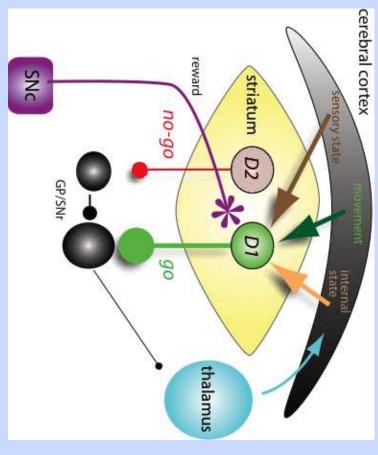
Dual pathways in the BG: Cartoon version

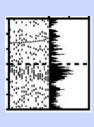


- Go/NoGo terminology is misleading (implies "act" vs. "not act")
- Benefit vs. cost of alternative actions (both at the same time!)
- Phasic DA signals drive learning via modulation of activation dynamics

D1 effects on BG learning: Positive PE

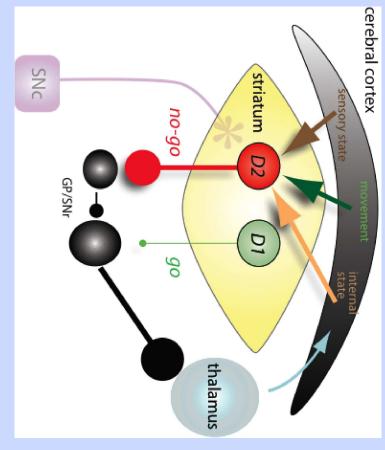
D1 effects on BG learning: Positive PE

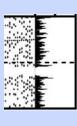




Three factor learning: presynaptic, postsynaptic and DA

D2 effects on BG learning: Negative PE

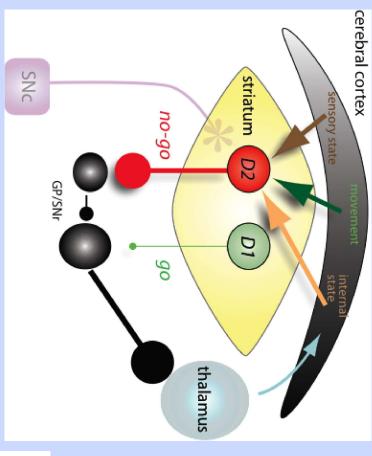


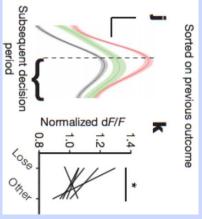


- originally: prediction based on computation (function) and circumstantial data
- D2 weights accumulate with experience learned Parkinsonism

Frank et al, 2004; 2005

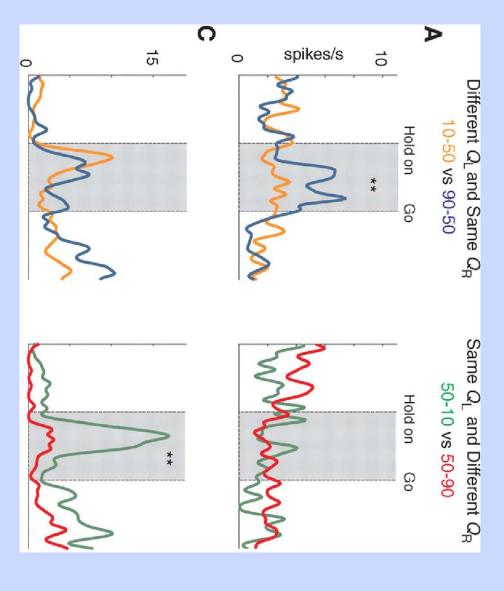
D2 effects on BG learning: Negative PE





Zalocusky et al, 2016

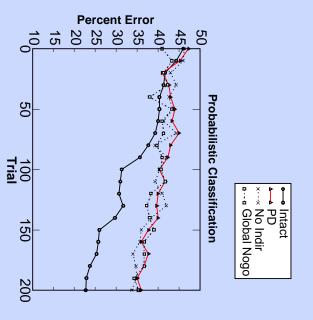
Separate striatal populations code for pos/neg action values



BG.proj

- uses DA RPE to drive contrastive Hebbian learning signal
- No supervised target; just reward-DA driven learning
- But still XCAL / CHL at level of synapse activity dependent learning.
- Note: wiki version is yet more simplified for demo

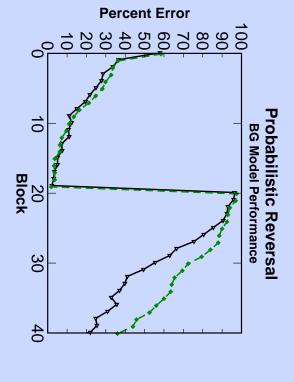
Simulating Probabilistic Classification



- Intact nets extracted probabilistic structure by resolving differences in Go/NoGo representations.
- PD nets were impaired due to reduced dynamic range of DA, capturing experimental data in same task.

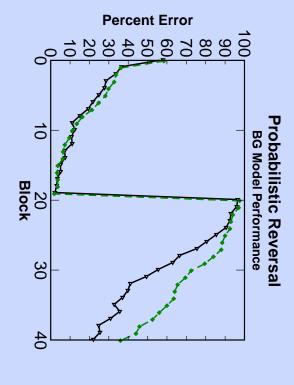
Simulating human learning and DA meds





Simulating human learning and DA meds





Medication: ↑ *DA levels, but tonic stimulation of D2 receptors prevents* DA dips from inducing NoGo learning.

Cools et al, 2001; Frank, 2005

Model predictions supported by rodent D1/D2 manips

BEHAVIORS DISTINCT DOPAMINERGIC CONTROL OF THE DIRECT AND INDIRECT PATHWAYS IN REWARD-BASED AND AVOIDANCE LEARNING

Distinct Roles of Synaptic Transmission in Direct and Indirect Striatal Pathways to Reward and Aversive Behavior

Takatoshi Hikida,^{1,2} Kensuke Kimura,^{1,3} Norio Wada,¹ Kazuo Funabiki,¹ and Shigetada Nakanishi^{1,*}

Distinct roles for direct and indirect pathway striatal neurons in reinforcement

Alexxai V Kravitz^{1,4}, Lynne D Tye^{1,2,4} & Anatol C Kreitzer^{1–3}

Transient stimulation of distinct subpopulations of striatal neurons mimics changes in action value

Lung-Hao Tai^{1,7}, A Moses Lee^{1,2,7}, Nora Benavidez^{1,3}, Antonello Bonci^{4–6} & Linda Wilbrecht^{1,4}

Nucleus accumbens D2R cells signal prior outcomes and control risky decision-making

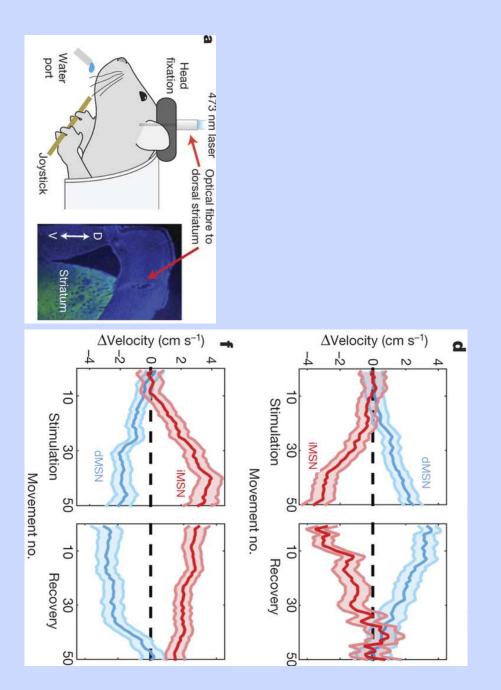
Dichotomous Dopaminergic Control of Striatal Synaptic Plasticity

Weixing Shen, ¹ Marc Flajolet, ² Paul Greengard, ² D. James Surmeier ^{1*}

Phasic Firing in Dopaminergic Neurons Is Sufficient for Behavioral Conditioning Hsing-Chen Tsai, 12* Feng Zhang, 2* Antoine Adamantidis, 3 Garret D. Stuber, 4 Antonello Bonci, 4 Luis de Lecea, 3 Karl Deisseroth 23†

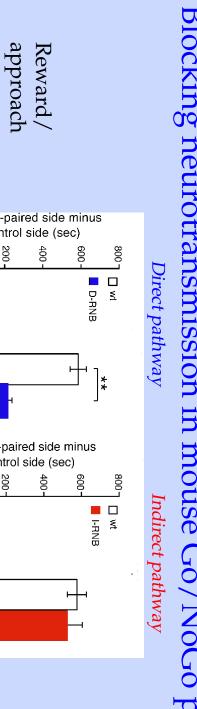
also monkey d1/d2 pharmacology, e.g. Nakamura & Hikosaka 06

Pitting action against RL accounts of D1/D2

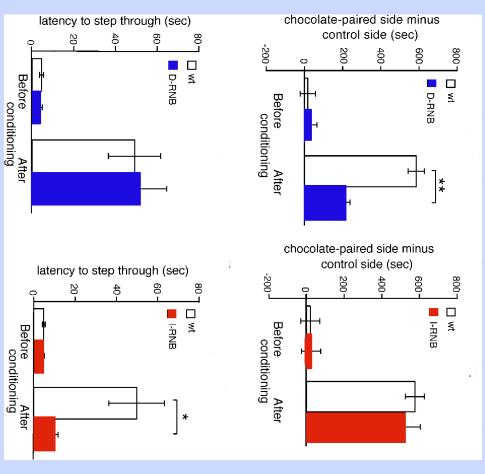


Yttri & Dudman, 2016, Nature

Blocking neurotransmission in mouse Go/NoGo pathways

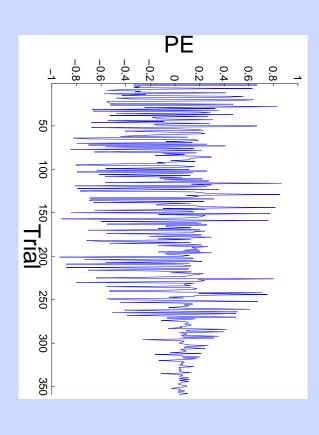


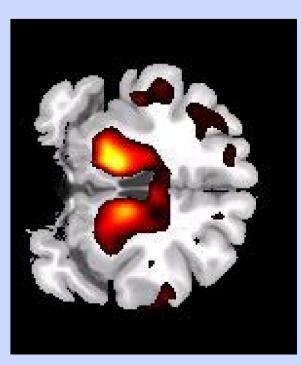
Avoid/ punishment



Hikida et al, 2010, Neuron

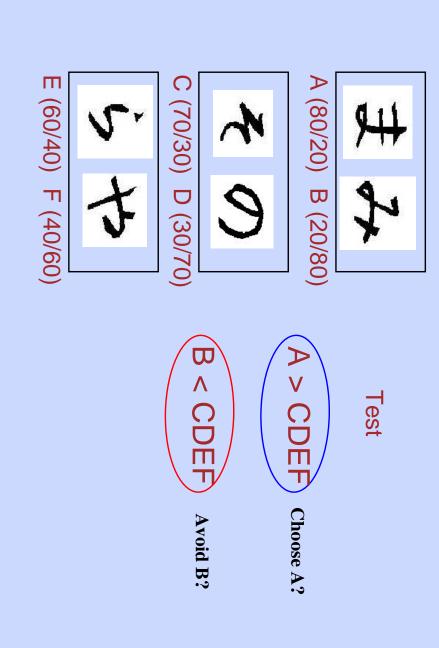
Reward prediction error and human functional imaging



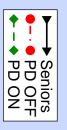


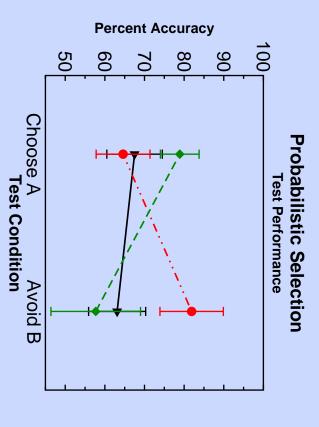
McClure et al, 2003; O'Doherty et al, 2004; Daw et al, 2006; Caplin et al, 2010; Badre & Frank, 2011 etc

Human probabilistic reinforcement learning



Testing the model: Parkinson's and medication effects

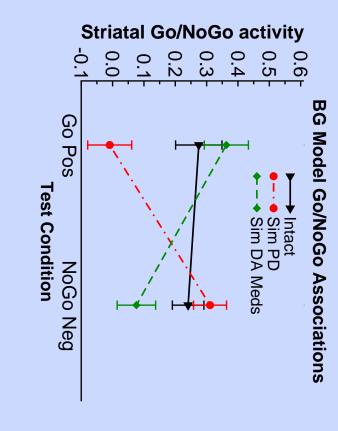




Frank, Seeberger & O'Reilly (2004)

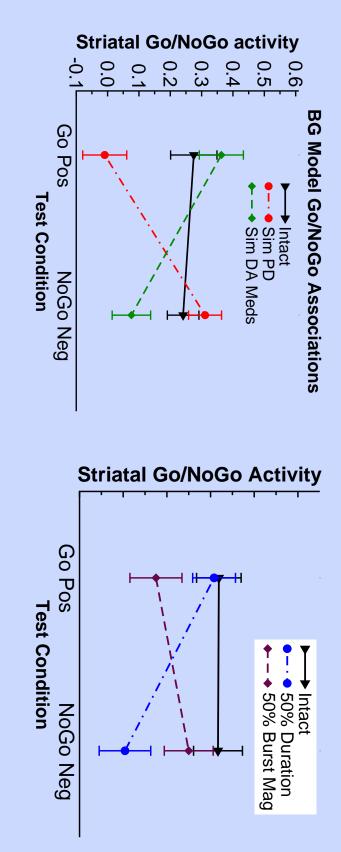
(See also: Cools et al, 06, Frank et al 07, Moustafa et al 08, Bódi et al 09, Palminteri et al, 09, Voon et al 10, etc)

BG model: DA modulates learning from pos/neg PE's



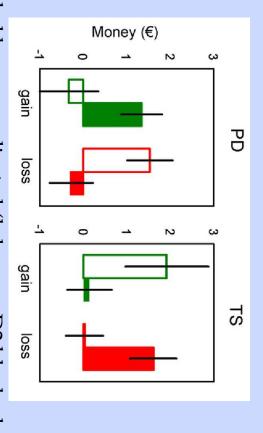
- Go learning to positive S-R requires sufficient phasic DA bursts
- NoGo learning to negative S-R requires sufficiently low DA during pauses

BG model: DA modulates learning from pos/neg PE's



- Burst magnitude facilitates Go learning (D1)
- Pause duration facilitates NoGo learning (D2)

DA stimulation vs. D2 blockade on go/nogo learning



Filled bars = medicated (1-dopa or D2 blockade)

Open bars = unmedicated

Palminteri et al, 2009

see Wiecki et al, 2009 for model of D2 blockade effects on NoGo learning in rats

Genetics of striatal dopamine function and model-based predictions

Genetics of striatal dopamine function and model-based predictions

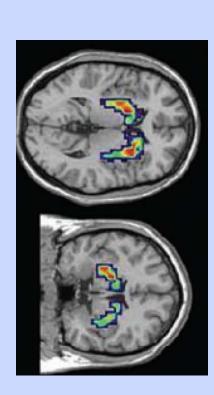
DARPP-32: protein concentrated in striatum, required for D1-dependent plasticity (Calabresi et al 00, Stipanovich et al 08)



Meyer-Lindenberg et al, 2007

Genetics of striatal dopamine function and model-based predictions

DARPP-32: protein concentrated in striatum, required for D1-dependent plasticity (Calabresi et al 00, Stipanovich et al 08)

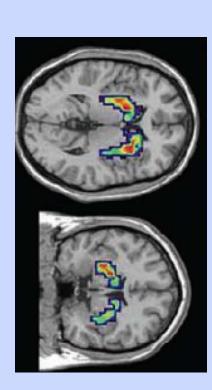


Meyer-Lindenberg et al, 2007

Dylan quotes Aristotle quotes Plato on DARPP-32!

Genetics of striatal dopamine function and model-based predictions

DARPP-32: protein concentrated in striatum, required for D1-dependent plasticity (Calabresi et al 00, Stipanovich et al 08)

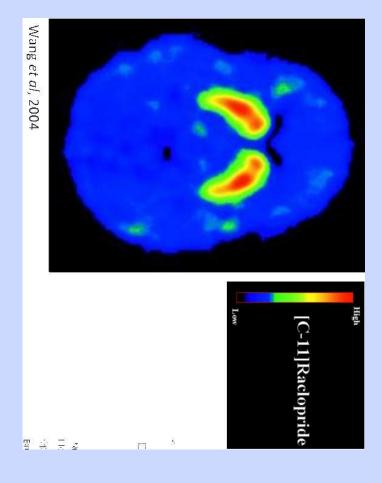


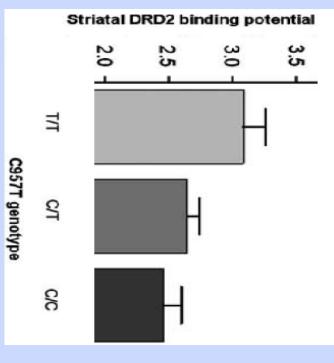
Meyer-Lindenberg et al, 2007

Dylan quotes Aristotle quotes Plato on DARPP-32!

⇒ Model: D1= probabilistic Go learning

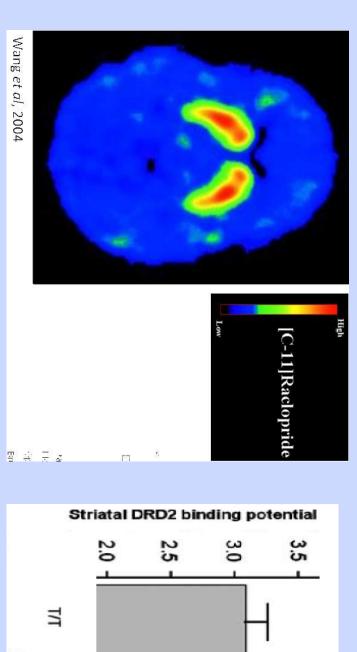
DRD2 gene: affects striatal D2 receptor function

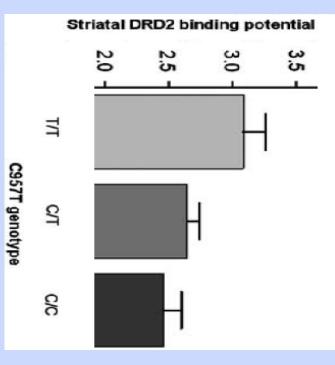




Hirvonen et al, 2009

DRD2 gene: affects striatal D2 receptor function

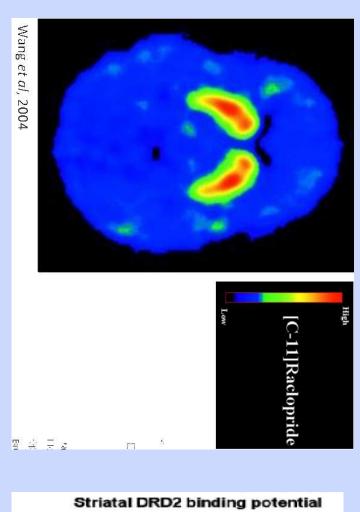


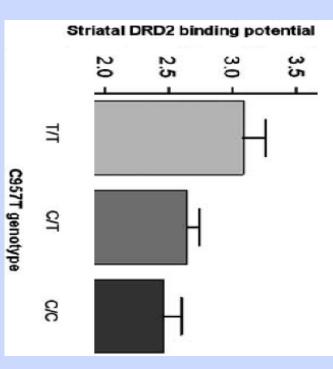


Hirvonen et al, 2009

and heres what the red hot chilli peppers have to say about this gene

DRD2 gene: affects striatal D2 receptor function



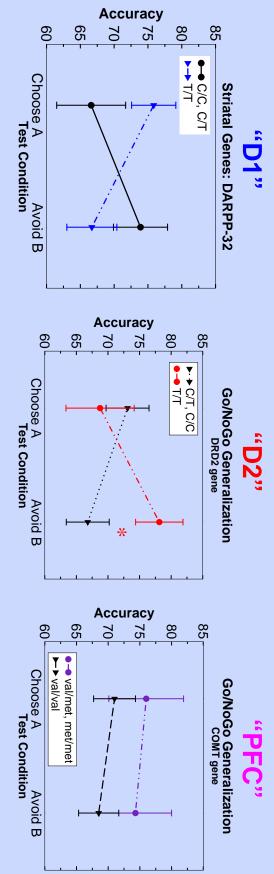


Hirvonen et al, 2009

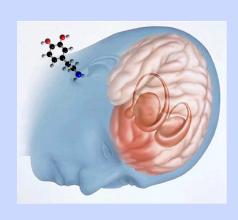
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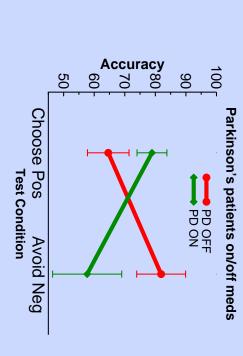
⇒ Model: D2 = probabilistic NoGo learning

DA genes and probabilistic learning

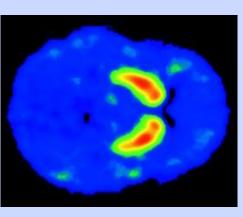


In humans: probabilistic reinforcement learning



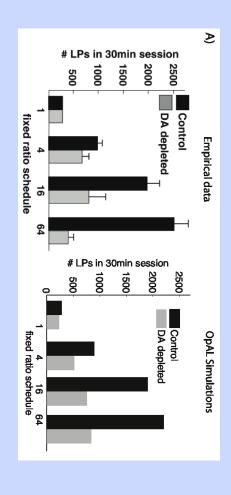






Frank et al (04, 06, 07), Cockburn et al 14, Cox et al 2015...

Not just learning: striatal DA modulates "incentive salience" (influence of value on choice)



Opponent Actor Learning (OpAL): Modeling Interactive Effects of Striatal Dopamine on Reinforcement Learning and Choice Incentive

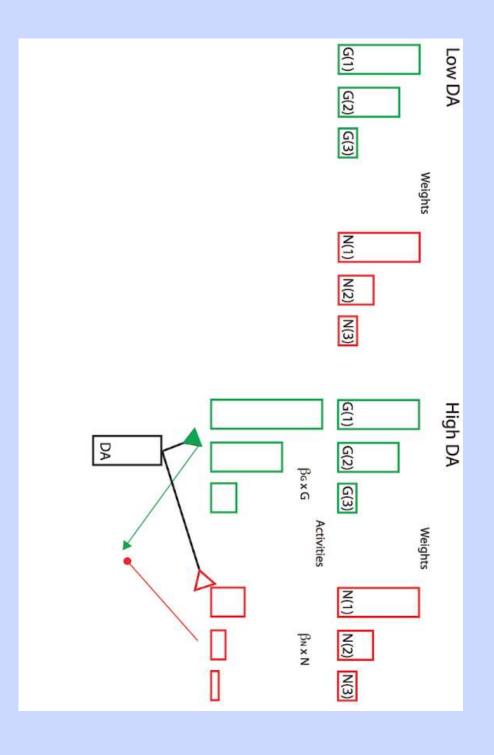
Anne G. E. Collins and Michael J. Frank



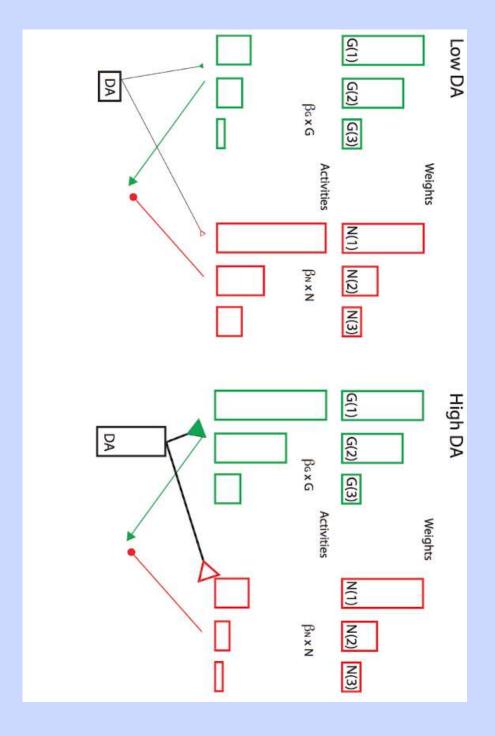
Salamone et al, 2003

G(1) G(2) G(3)	Low DA
Weights N(1) N(2) N(3)	
G(1) G(2) G(3)	High DA
Weights N(1) N(2) N(3)	

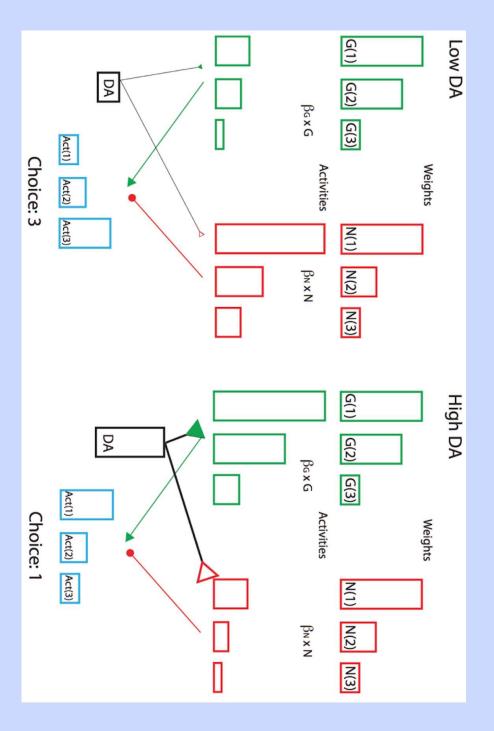
Collins & Frank, Psych Rev, 2014



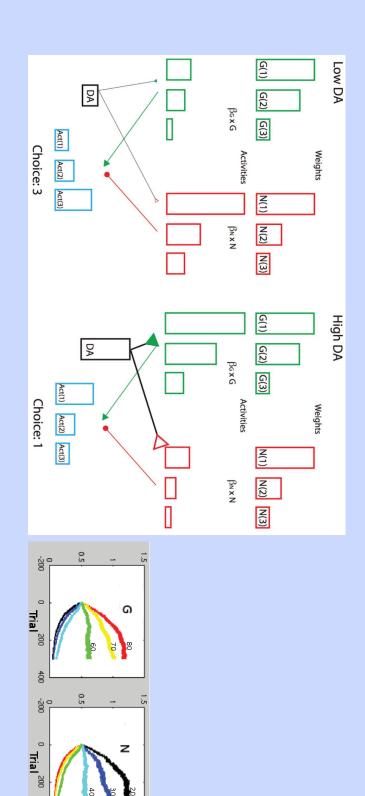
Collins & Frank, Psych Rev, 2014



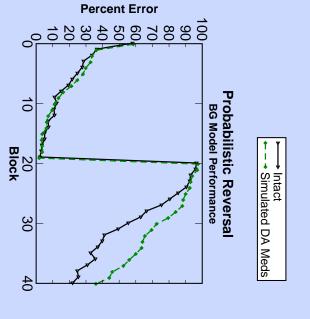
Collins & Frank, Psych Rev, 2014



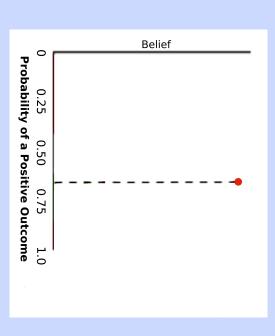
Collins & Frank, Psych Rev, 2014

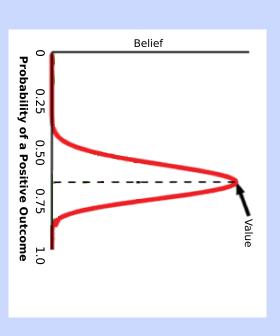


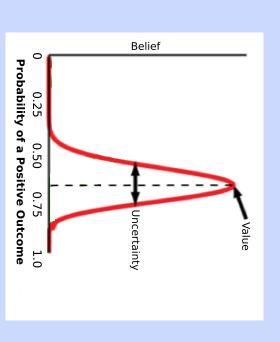
Back to reversal learning: DA-mediated Go/NoGo learning alone is limited

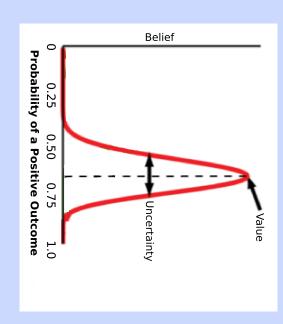


- Simulated D2 agonists prevent learning in D2 MSNs
- intact BG model learns probabilistic reversal, but not optimally ⇒ motivates need for dynamic learning rate...

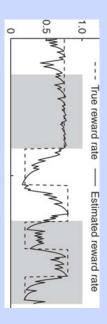




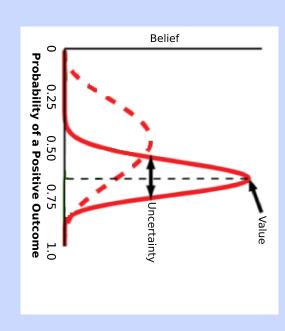




Learning from individual noisy outcomes should depend on uncertainty (cf Kalman filter).



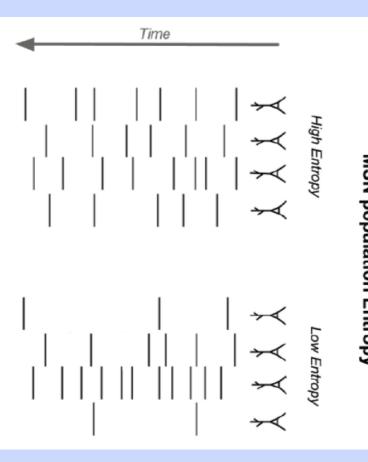
e.g., Yu & Dayan 05; Behrens et al 2007; Nassar et al 2010; Mathys et al 2011



- Learning from individual noisy outcomes should depend on uncertainty
- For choice tasks, uncertainty in A>B (overlap)

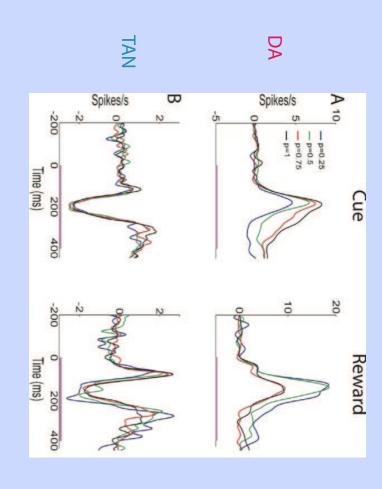
MSN population entropy indexes choice uncertainty





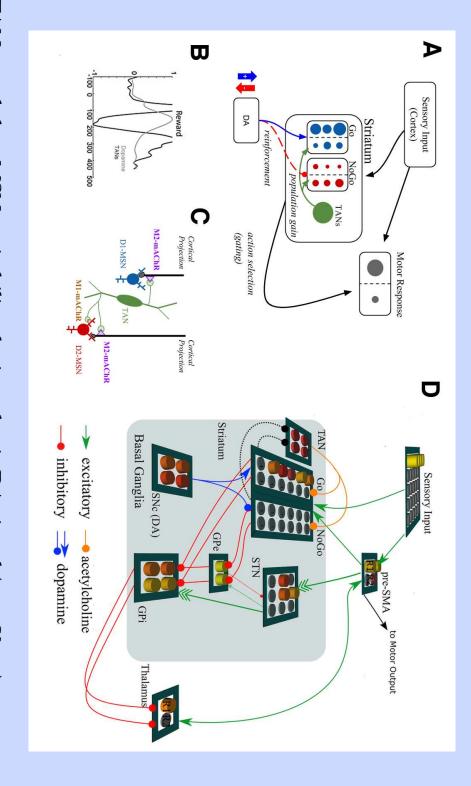
$$p_a(t) = \sum_{i} y_i^a(t) / \sum_{MSN} y$$
$$H = -\sum_{t} \sum_{a} p_a(t) log_2 p_a(t)$$

Role for cholinergic intereurons in modulating learning?



- TANs gate plasticity (e.g., Graybiel, Bergman, Cragg etc)
- TAN ablations impair reversal learning (e.g,. Witten et al 2010)
- striatal M1 blockade impairs reversal learning (McCool et al 08)

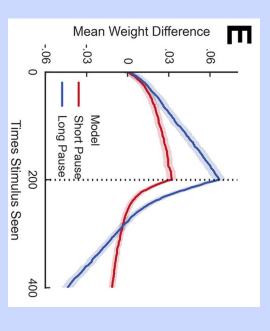
TAN effects on network learning



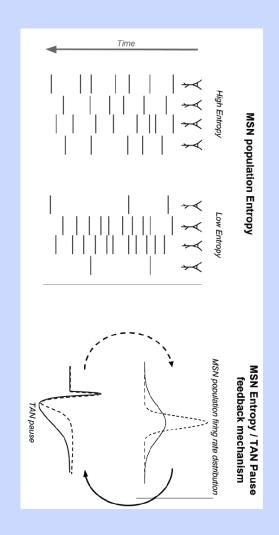
- TANs modulate MSN excitability during phasic DA signal (e.g., Koos)
- Long pause → disinhibit corticostriatal input across population, more learning

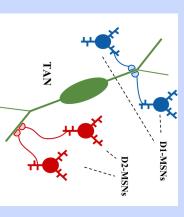
TANs moderate divergence in MSN weights with learning and population entropy

- TAN pauses modulate MSN excitability during phasic DA (via M1, presynaptic M2 and nicotinic effects on GABA-internerons)
- Long pause \rightarrow larger population of MSNs learn from DA
- Short pause → learning focused on sparse population
- ⇒ TAN pause modulates effective learning rate



MSN-TAN feedback circuit for adaptive learning rates

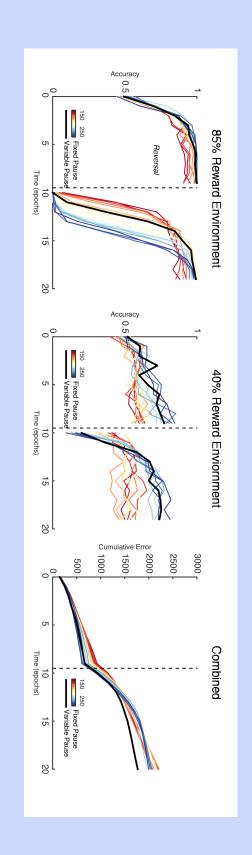




MSN-TAN collaterals: Bolam et al '86; Chuhma et al 11; Gonzalez et al 13

MSN entropy \rightarrow longer TAN pauses

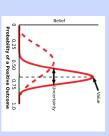
TAN/MSN/DA interactions optimize learning across levels of stochasticity & volatility



- benefit of long/short pause depends on level of stochasticity
- 85/15 vs 40/10 environments
- Self-regulating pause optimizes learning/reversal overall

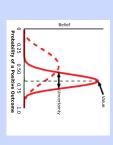
Franklin & Frank, 2015, eLife

Bayesian approach to dynamic learning

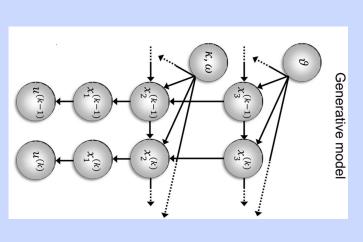


How do deal with volatility?

Bayesian approach to dynamic learning

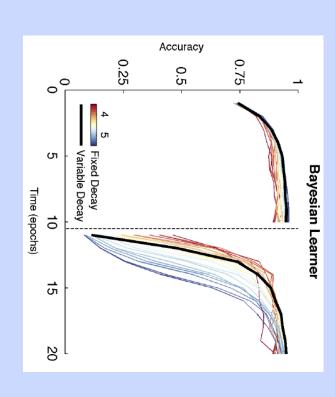


How do deal with volatility?



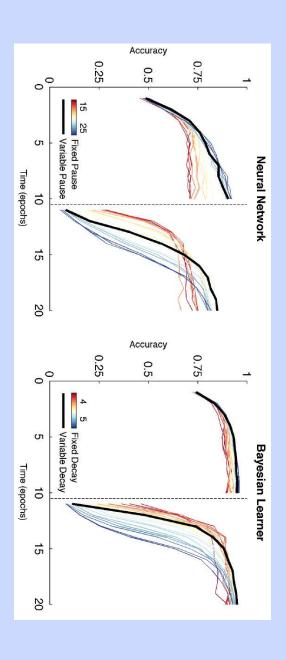
e.g., Yu & Dayan 05; Behrens et al 2007; Nassar et al 2010; Mathys et al 2011

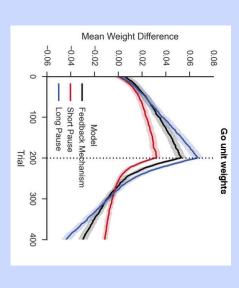
approximate Bayesian approach to dynamic learning



- add uncertainty to belief distributions (decay counts)
- regulate trade off by dynamically changing decay according to changes in choice uncertainy

BG-TAN net is analogous to Bayesian uncertainty-driven

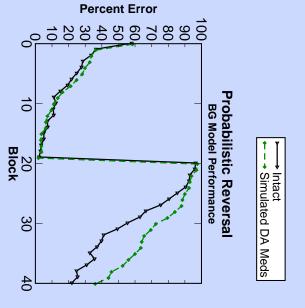




same result in OpAL formulation

Franklin & Frank, 2015, eLife

DA-mediated Go/NoGo learning alone is limited: Probabilistic reversal learning



- Simulated D2 agonists prevent learning in D2 MSNs
- intact BG model learns probabilistic reversal, but not optimally \Rightarrow motivates need for dynamic learning rate...

Cools et al, 2001; Frank, 2005

Deep Brain Stimulation of the Subthalamic Nucleus (STN) for treatment of Parkinson's disease



Video #1: http://ski.clps.brown.edu/dbs2.mp4 Video #2: http://ski.clps.brown.edu/dbs.mp4

But not all is grand in the world of DBS...

But not all is grand in the world of DBS...

surroundings, forgetful, has lied, he has no empathy, he uses foul language our hands. two months following the surgery we began to notice some on the move. going somewhere and buying something... NOT gone one day without buying something. he can't sit still, he's always personality changes. he became impulsive, cocky, oblivious to his hi, i found your email address in an article i was reading about dbs surgery oriented and now he is sloppy, and he is spending a lot of money. he has for parkinsons. my dad had the surgery last may and we have a mess on ... canceled his 2 follow up dr appointments, he was always very detailed

But not all is grand in the world of DBS...

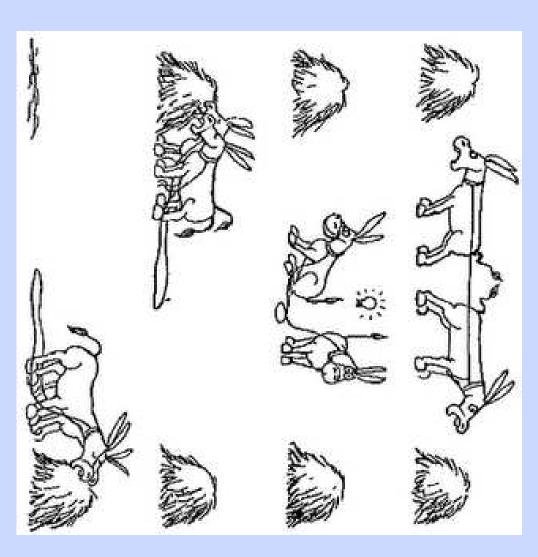
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STN-DBS dramatically improves PD motor symptoms, but can induce impulsivity (Saint-Cyr et al 06, Frank et al, 07; Wylie et al 10; Hälbig et al 09)

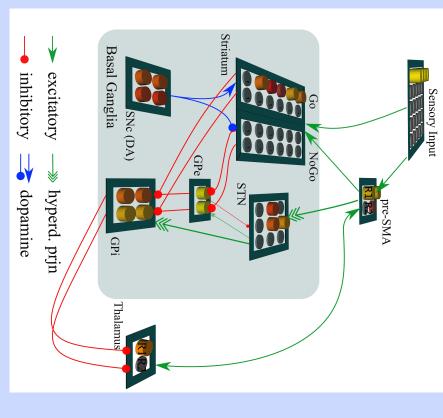
From reinforcement learning...

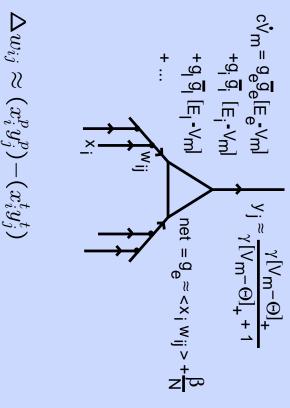


...to reinforcement conflict-based decision making



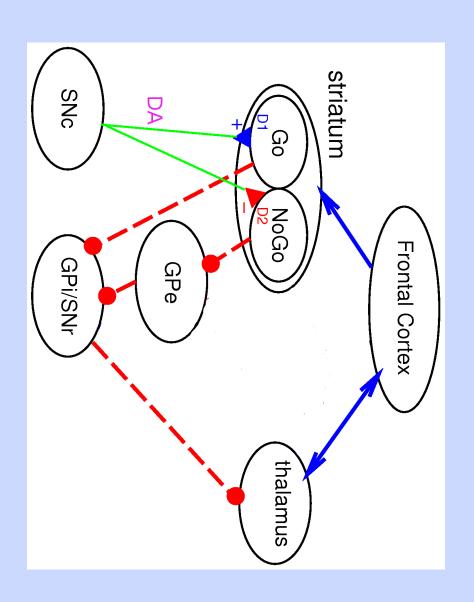
Neural circuit model of BG in learning / decision making



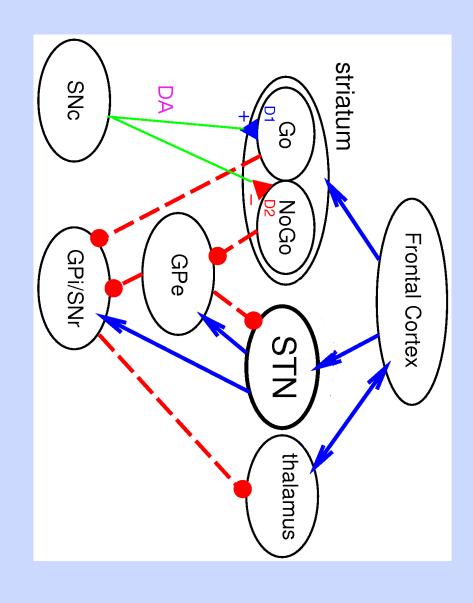


Frank, 2005, 2006 J Cog Neurosci, Neural Networks

Anatomy of BG gating: without STN

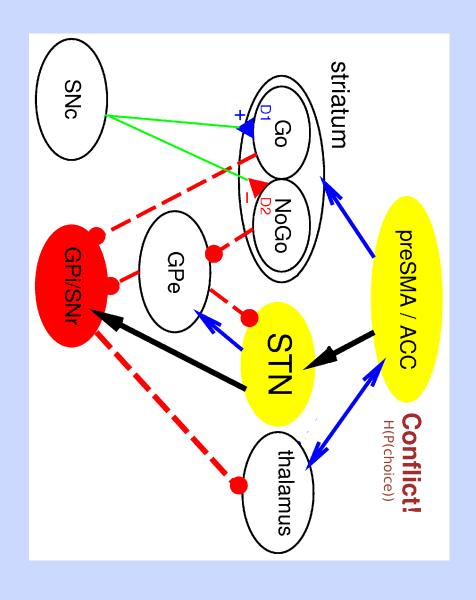


Anatomy of BG gating: with subthalamic nucleus (STN)



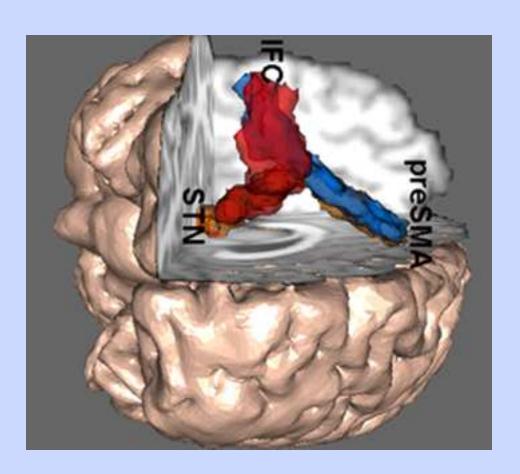
PFC-STN provides an override mechanism

Dynamic modulation of decision threshold Subthalamic Nucleus:

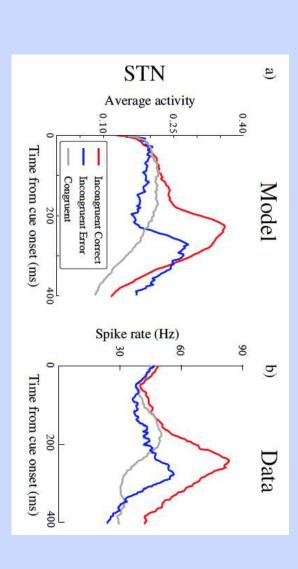


Conflict (entropy) in choice prob: ⇒ *Hold Your Horses!*

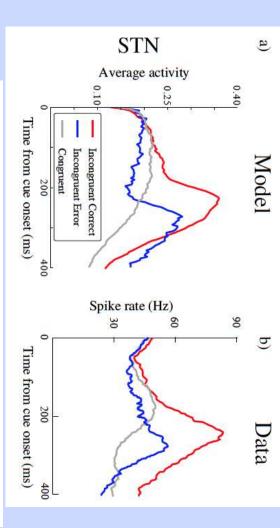
STN and frontal cortex are directly connected via white matter



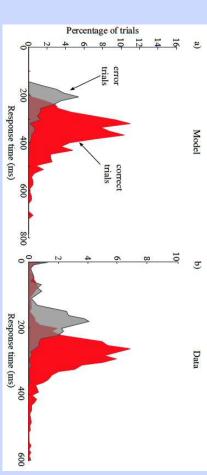
Aron et al (2007), J Neurosci



spike rate:



behavior:



data from Isoda & Hikosaka 2008

Wiecki & Frank, 2013 Psych Review

Human probabilistic reward/choice conflict









Low Conflict: e.g., 80 vs 30% High Conflict: e.g., 80 vs 70%

$$H(P_{softmax}) = .06$$

 $H(P_{softmax}) = .84$

Human probabilistic reward/choice conflict



Low Conflict: e.g., 80 vs 30% High Conflict: e.g., 80 vs 70% $H(P_{softmax}) = .84$ $H(P_{softmax}) = .06$

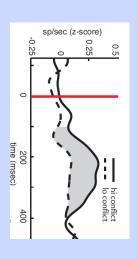
→ Need STN to prevent impulsive responses

Human probabilistic reward/choice conflict



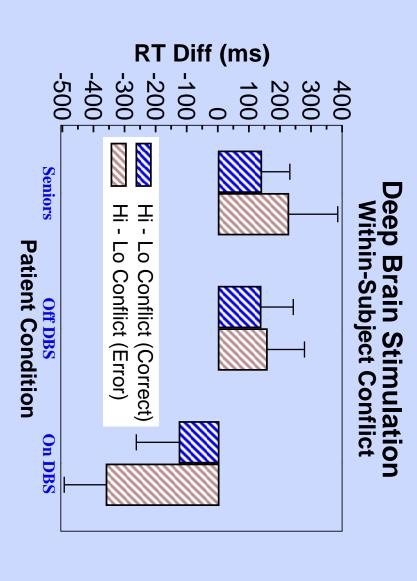
High Conflict: e.g., 80 vs 70% Low Conflict: e.g., 80 vs 30% $H(P_{softmax}) = .84$ $H(P_{softmax}) = .06$

→ Need STN to prevent impulsive responses



human STN spiking, Zaghloul et al., 2012

STN-DBS reverses conflict RT adjustments



Frank, Samanta, Moustafa & Sherman (2007)

see also Wylie et al 10; Hälbig et al 09; Cavanagh et al 11; Coulthard et al 12; Green et al 13

Interim Summary

- DBS induces speeded responding in conflict conditions
- Simulations: STN modulates decision threshold \propto cortical conflict

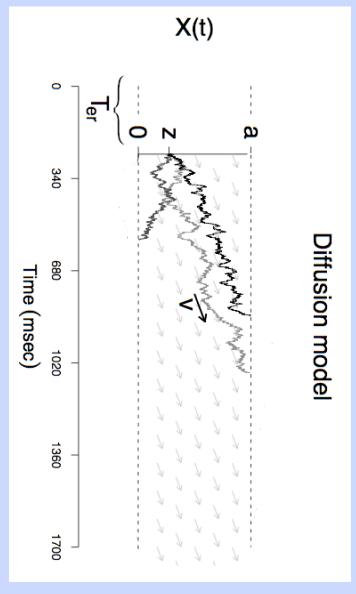
Interim Summary

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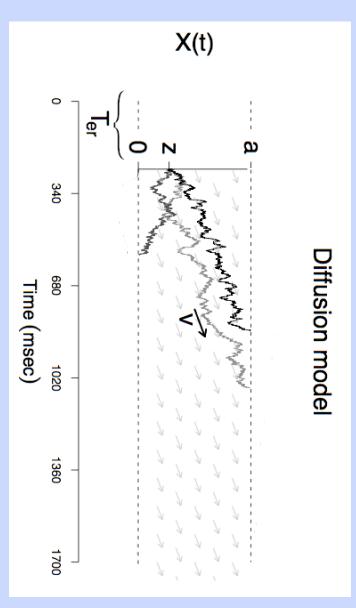
More precise predictions to be tested:

- Does mediofrontal cortex and STN represent reinforcement conflict?
- Does decision threshold vary as a function of mediofrontal conflict?
- Does STN-DBS alter this relationship?

Abstraction: the drift diffusion model

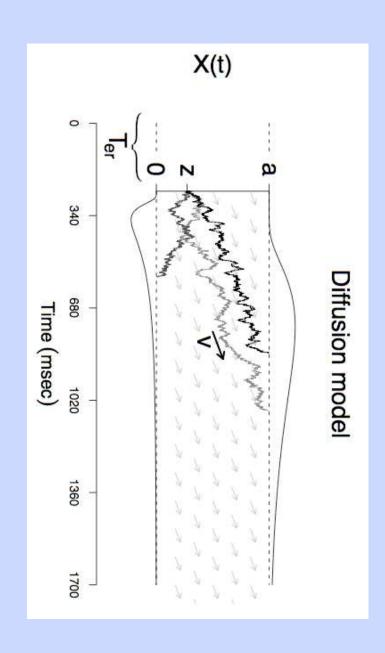


Abstraction: the drift diffusion model



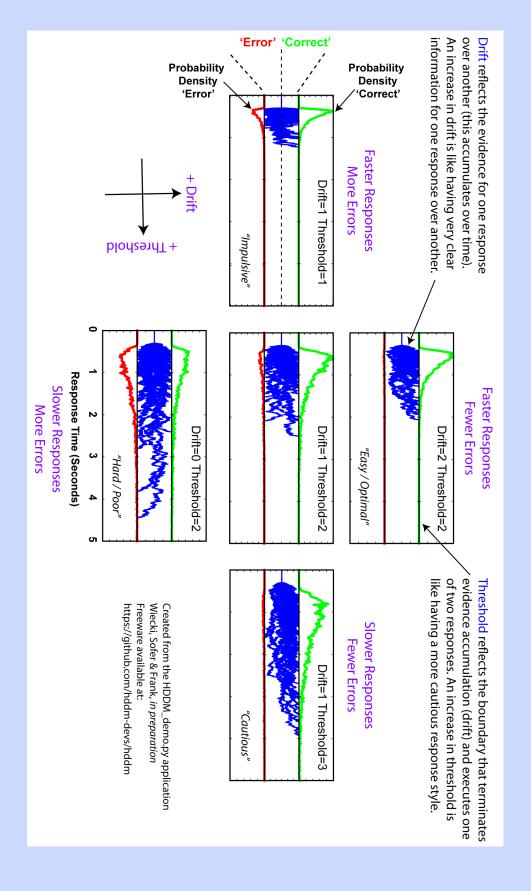
- Provides quantitative fits to error rates and RT distributions in many tasks
- Allows estimation of decision threshold (a), separately from other factors (v, z, Ter)

Abstraction: the drift diffusion model



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Contrasting drift rate vs threshold

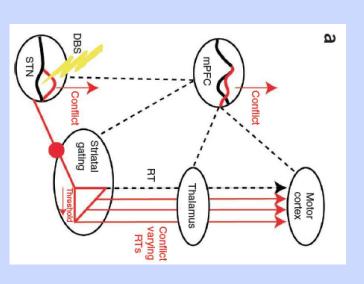


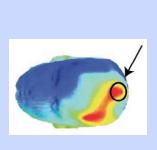
Mechanism

nature neuroscience

Subthalamic nucleus stimulation reverses mediofrontal influence over decision threshold

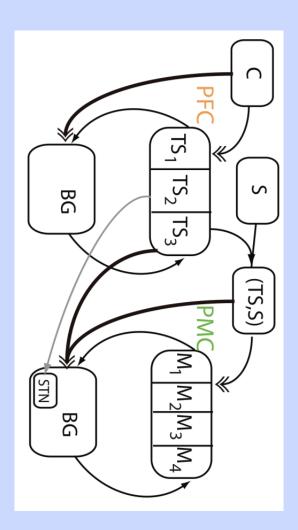
James F Cavanagh¹, Thomas V Wiecki¹, Michael X Cohen²³, Christina M Figueroa¹, Johan Samanta⁴⁵, Scott J Sherman⁴ & Michael J Frank¹¹⁵,







PFC & cognitive control influences on learning Hierarchical interactions in BG-FC circuits:







- Standard story: infants born early due to large head, small birth canal
- 'Fourth trimester'



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- 'Fourth trimester'
- But 3 month old infants still pretty incompetent (from babycenter.com):



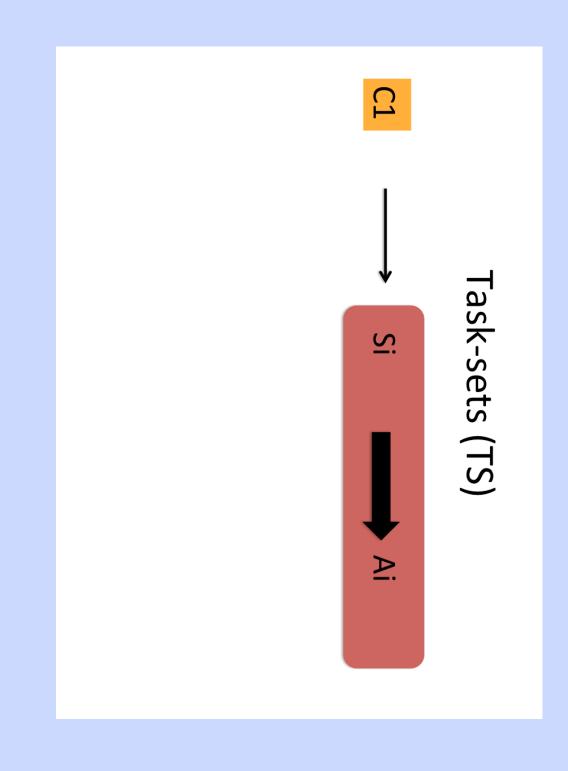
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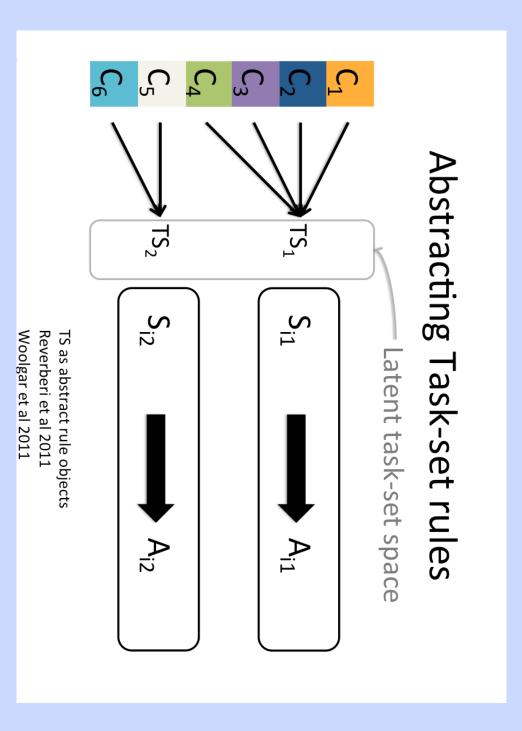
his head and chest. He can open and close his hands.." "You no longer need to support his head. When he's on his stomach, he can lift

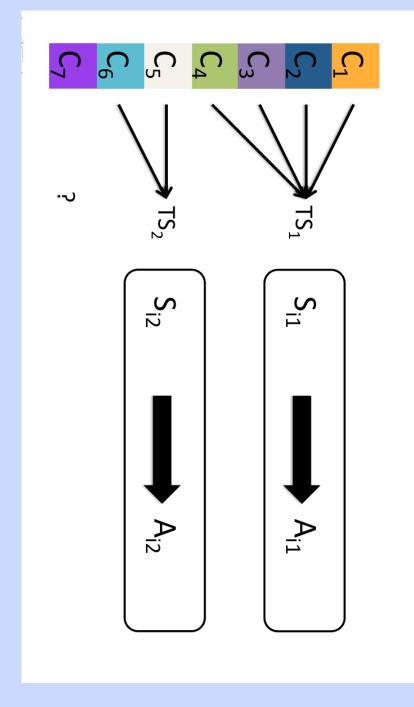


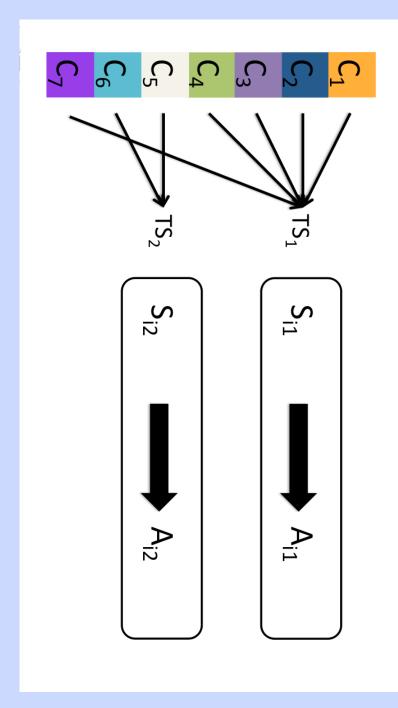
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- But 3 month old infants still pretty incompetent (from babycenter.com): his head and chest. He can open and close his hands.." "You no longer need to support his head. When he's on his stomach, he can lift
- Hypothesis: human brain is wired to discover generalizable structure....

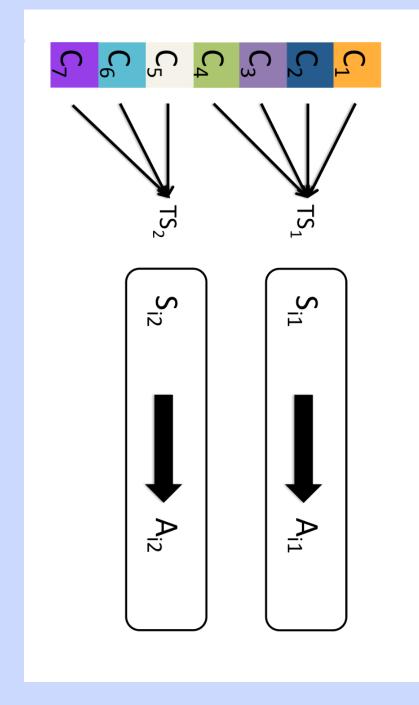
C1 Task-sets (TS) \$1 \$2 \$3 A1 A3

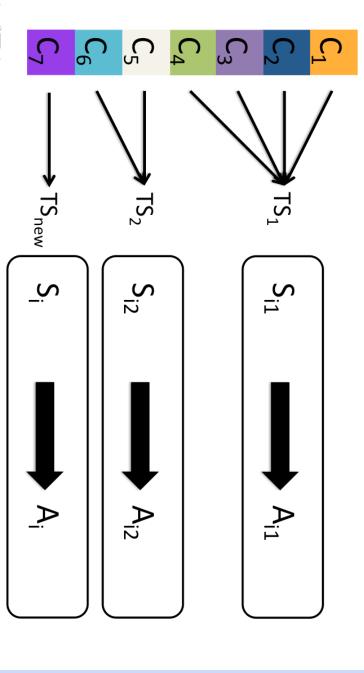


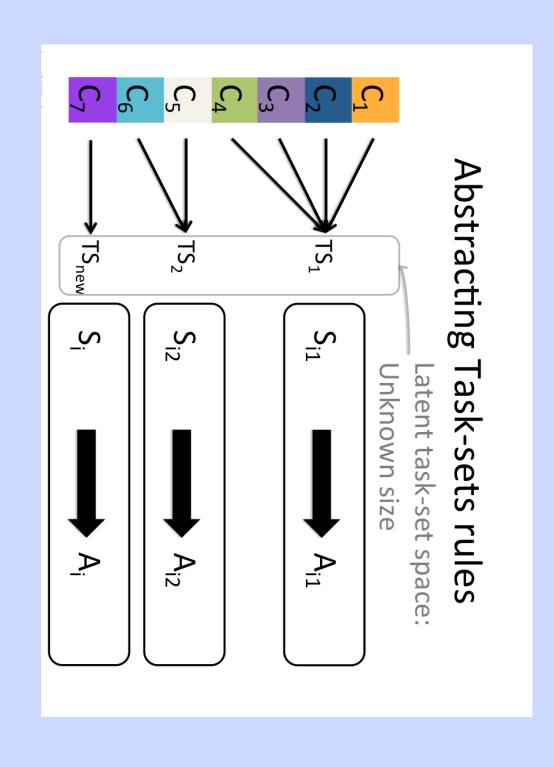




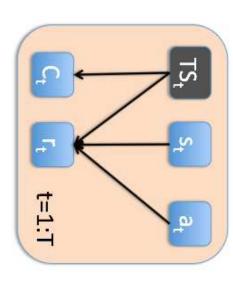








C-TS model

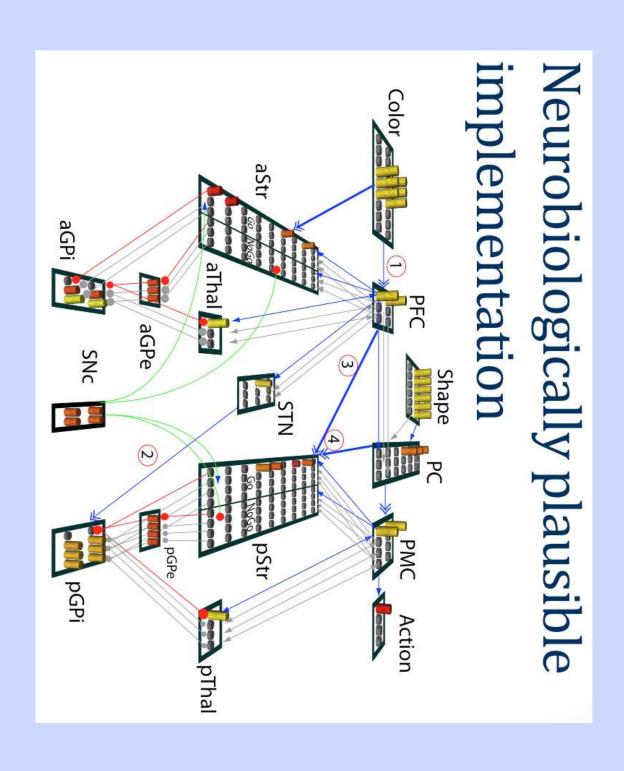


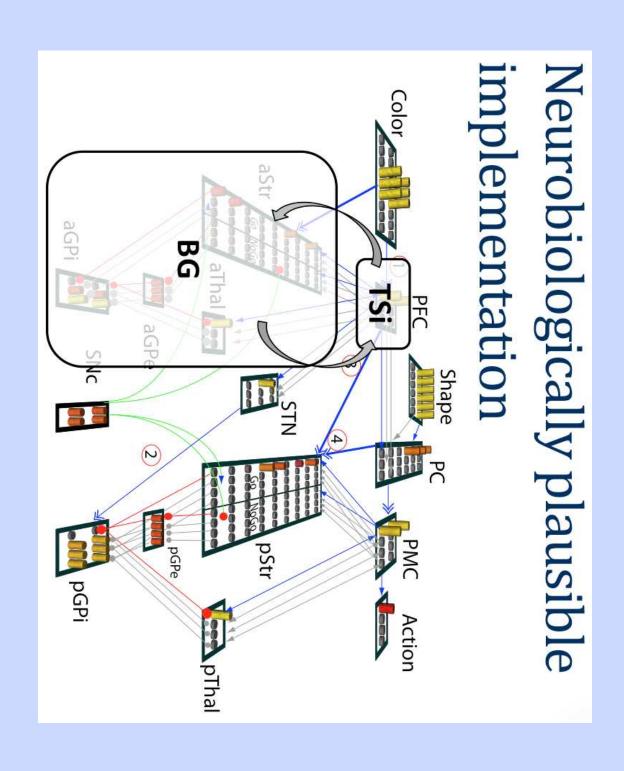
across contexts and can be revisited in new contexts Task-sets are clustered

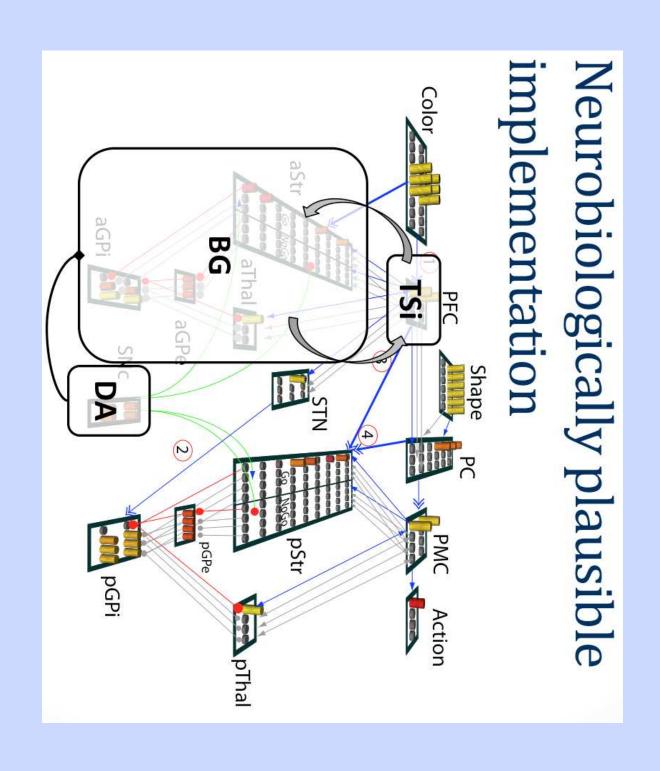
Prior prob on TS space given a new C:

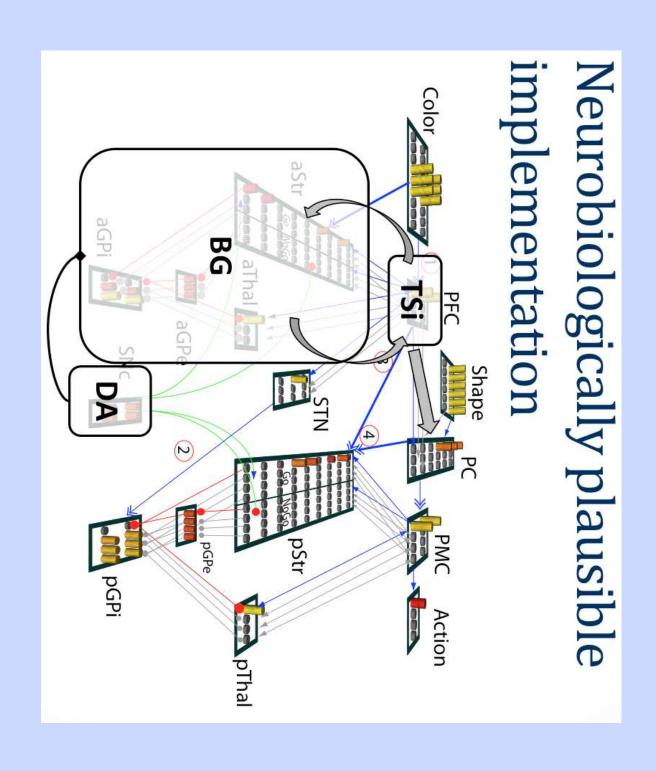
$$P(TS^* = . \mid c_{n+1}) = \begin{cases} P(TS^* = TS_{new} \mid c_{n+1}) = \alpha/A \\ \forall i \neq new, \ P(TS^* = TS_i \mid c_{n+1}) = \sum_j P(TS_i \mid c_j)/A \end{cases}$$

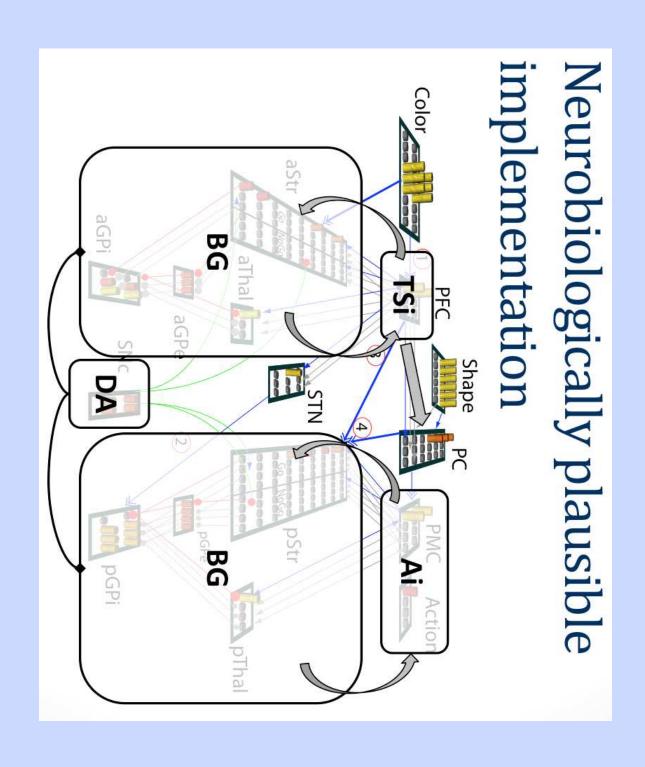
- α > 0: Clustering parameter
- Chinese restaurant process Jordan, Blei Teh 2005



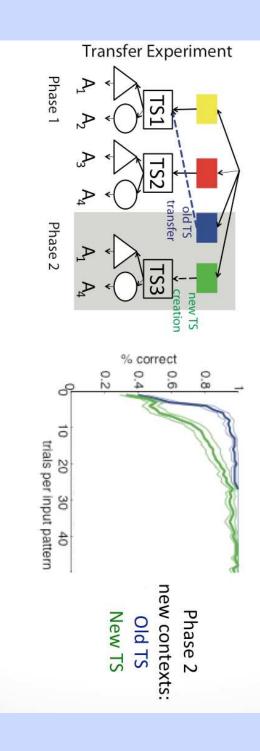








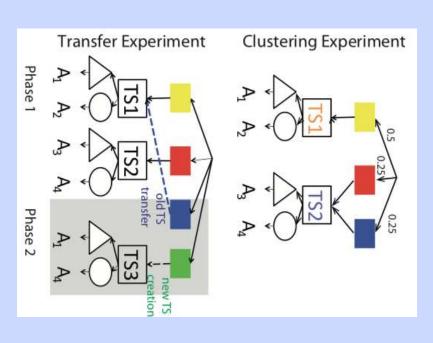
Neural Network - Results



Predicts positive, negative transfer The network learns efficiently unsupervised,

Collins & Frank, Psych Review, 2013

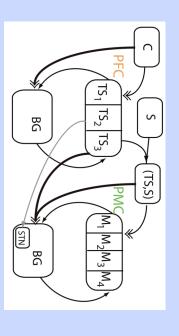
Re-using and creating task-sets

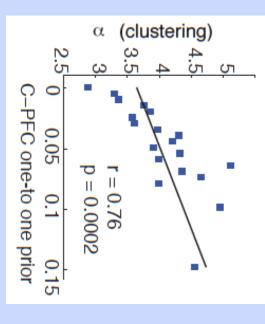


Collins & Frank 2013, Psych Rev; Collins et al, 2014 J Neurosci; Collins & Frank, in review

fMRI evidence: Badre & Frank 2012

Model mimicry: C-TS and hierarchical BG-PFC network





- Sparseness of context-PFC connectivity matrix is linked to α clustering
- Both models are approximations of the same process: building TS structure
- fMRI evidence for hierarchical PFC-BG mechanisms Badre & Frank 2012